

InvestSMART Group ESG Policy:

Environmental sustainability:

InvestSMART Group (“The Group”) operates predominantly from a cloud-based platform which relies on various types of technology. As such, the direct impact on the environment and other surroundings is contained and not so pronounced as it would be in other industries.

However, at our offices, The Group tries to be conscious of indirect environmental effects and ensure that our actions are considered in their approach. This includes not printing documents unnecessarily, recycling any goods where possible and only using the kitchen appliances when required.

Social sustainability:

The Group takes great pride in upholding our social responsibilities to ensure that we conduct our business and treat our employees in an ethical manner.

The culture at InvestSMART Group is social, friendly and encompassing, with regular events held, inclusive of all employees. InvestSMART Group employs people from a diverse cross-section of society representative of different ages and backgrounds. Through InvestSMART’s recruitment process, HR and Compliance inductions, the business endeavours to ensure that all employees feel like that they are part of the company’s ethos from the start. We have embedded policies around Work, Health and Safety to ensure our staff’s wellbeing.

The Group’s core mantra is “helping all Australians grow and protect their wealth.” To help achieve this, The Group’s investment products are varied and covers Portfolio Management, Funds Management, Insurance, Share Research and Wealth Advice, catering to a multitude of investors. We make every effort to disclose all relevant information to prospective investors, enabling them to make a learned choice. We are transparent with this information in our disclosure documents and on our website.

Internal staff policies pertaining to the share research and funds management businesses, include caveats to ensure that our members and investors obtain the best possible price for their respective investments, based on our recommendations.

We are governed by the regulatory board, ASIC, and the ASX, with regard to the listing rules on the exchange. This in turn enable the financial markets to be run in a fair and efficient manner, beneficial to all investors.